

INFORMATION DISCLOSURE CITATION
Form PTO-1449 (Modified)
(Use several sheets if necessary)

ATTY. DOCKET NO.
GUID-021DIV

SERIAL NO.
10/799,528

Confirmation Number Unassigned

APPLICANT
Paul, David J. et al.

FILING DATE March 13, 2004
GROUP Unassigned

US PATENT DOCUMENTS

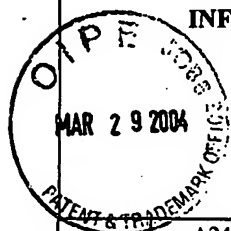
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
OC	A1	452,131	5/12/1891	Haughawout			
	A2	810,675	01/16/1906	Myers			
	A3	1,706,500	03/26/1929	Smith			
	A4	2,296,793	09/22/1942	Kirschbaum			
	A5	2,590,527	03/25/1952	Fluck			
	A6	2,693,795	11/09/1954	Grieshaber			
	A7	2,863,444	12/09/1958	Winsten			
	A8	3,392,722	07/16/1968	Jorgensen			
	A9	3,466,079	09/09/1969	W.K. Mammel			
	A10	3,683,926	08/15/1972	Suzuki			
	A11	3,720,433	03/13/1973	Rosfelder			
	A12	3,783,873	01/08/1974	Harvey Barry Jacobs			
	A13	3,858,926	01/07/1975	Ottenhues			
	A14	3,882,855	05/13/1975	Shulte et al.			
	A15	3,912,317	10/14/1975	Ohnaka, et al.			
	A16	3,916,909	11/04/1975	Kletschka, et al.			
	A17	3,983,863	10/05/1976	Janke et al.			
	A18	4,047,532	09/13/1977	Phillips et al.			
	A19	4,048,987	09/20/1977	Hurson			
	A20	4,049,000	09/20/1977	Williams			
	A21	4,049,002	09/20/1977	Kletschka et al.			
	A22	4,049,484	09/20/1977	Priest, et al.			
OC	A23	4,052,980	10/11/1977	Grams et al			

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OC	A24	4,094,484	06/13/1978	Galione et al.			
	A25	4,096,853	06/27/1978	Hanfried Weigand			
	A26	4,226,228	10/07/1980	Shin et al.			
	A27	4,230,119	10/28/1980	Blum			
	A28	4,306,561	12/22/1981	Luis de Medinaceli			
	A29	4,350,160	09/21/1982	Kolesov, et al.			
	A30	4,366,819	01/04/1983	Kaster			
	A31	4,368,736	01/18/1983	Kaster			
	A32	4,421,107	12/20/1983	Estes et al.			
	A33	4,428,368	01/31/1984	Torii			
	A34	4,434,791	03/06/1984	Darnell			
	A35	4,461,284	07/24/1984	Fackler			
	A36	4,492,229	01/28/1985	Grunwald			
	A37	4,617,916	10/21/1986	LeVahn et al.			
	A38	4,627,421	12/09/1986	Symbas et al.			
	A39	4,637,377	01/20/1987	Loop			
	A40	4,646,747	03/03/1987	Lundback			
	A41	4,688,570	08/25/1987	Kramer et al.			
	A42	4,702,230	10/27/1987	Pelta			
	A43	4,718,418	01/12/1988	L'Esperance, Jr.			
	A44	4,726,356	02/23/1988	Santilli et al.			
	A45	4,726,358	02/23/1988	Brady			
	A46	4,736,749	04/12/1988	Lundback			
	A47	4,747,395	05/31/1988	Brief			
	A48	4,754,746	07/05/1988	Cox			
OC	A49	4,803,984	02/14/1989	Narayanan et al.			

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					Confirmation Number		Unassigned	
					APPLICANT Paul, David J. et al.			
					FILING DATE March 13, 2004		GROUP Unassigned	
OC	A50	4,808,163	02/28/1989	Laub				
	A51	4,829,985	05/16/1989	Couetil				
	A52	4,852,552	08/01/1989	Chaux				
	A53	4,854,318	08/08/1989	Solem et al.				
	A54	4,858,552	08/22/1989	Glatt et al.				
	A55	4,863,133	09/05/1989	Bonnell				
	A56	4,865,019	09/12/1989	Phillips				
	A57	4,884,559	12/05/1989	Collins				
	A58	4,904,012	02/27/1990	Nishiguchi et al.				
	A59	4,925,443	05/15/1990	Heilman et al.				
	A60	4,949,707	08/21/1990	LeVahn et al.				
	A61	4,955,896	09/11/1990	freeman				
	A62	4,962,758	10/16/1990	Lasner et al.				
	A63	4,971,037	11/02/1990	Pelta				
	A64	4,973,300	11/27/1990	Wright				
	A65	4,989,587	02/05/1991	Farley				
	A66	4,991,578	02/12/1991	Cohen				
	A67	4,993,862	02/19/1991	Pelta				
	A68	5,009,660	04/23/1991	Clapham				
	A69	5,011,469	04/30/1991	Buckberg et al.				
	A70	5,025,779	06/25/1991	Bugge				
	A71	5,036,868	08/06/1991	Berggren et al.				
	A72	5,037,428	08/06/1991	Picha et al.				
	A73	5,052,373	10/01/1991	Michelson				
✓	A74	5,053,041	10/01/1991	Ansari et al.				
OC	A75	5,080,088	01/14/1992	LeVahn				

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OC	A76	5,098,369	03/24/1992	Heilman et al.				
	A77	5,119,804	06/09/1992	Anstadt				
	A78	5,131,905	07/21/1992	Grooters				
	A79	5,133,724	07/28/1992	Wilson Jr. et al.				
	A80	5,159,921	11/03/1992	Hoover				
	A81	5,167,223	12/01/1992	Koros et al.				
	A82	5,171,254	12/15/1992	Sher				
	A83	Re. 34,150	12/29/1992	Santilli, deceased et al.				
	A84	5,192,070	03/09/1993	Nagai et al.				
	A85	5,231,974	08/03/1993	Giglio et al.				
	A86	5,287,861	02/22/1994	Wilk				
	A87	5,290,082	03/01/1994	Palmer et al.				
	A88	5,293,863	03/15/1994	Zhu et al.				
	A89	5,300,087	04/05/1994	Knoepfler				
	A90	5,318,013	07/07/1994	Wilk				
	A91	5,336,252	08/09/1994	Cochen				
	A92	5,382,756	01/17/1995	Dagan				
	A93	5,383,840	01/24/1995	Heilman et al.				
	A94	5,417,709	05/23/1995	Charles R. Slater				
	A95	5,425,705	06/20/1995	Evard et al.				
	A96	5,437,651	08/01/1995	Todd et al.				
	A97	5,452,733	09/26/1995	Sterman et al.				
	A98	5,467,763	11/21/1995	McMahon et al.				
	A99	5,484,391	01/16/1996	Buckman Jr. et al.				
↓	A100	5,498,256	03/12/1996	Furnish				
OC	A101	5,503,617	04/02/1996	Jako				

EXAMINER /Cary O'Connor/	DATE CONSIDERED 10/20/2006	4
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OC	A102	5,509,890	04/23/1996	Kazama				
	A103	5,512,037	04/30/1996	Russell et al.				
	A104	5,514,075	05/07/1996	Moll et al.				
	A105	5,514,076	05/07/1996	Ley				
	A106	5,520,610	05/28/1996	Giglio et al.				
	A107	5,529,571	06/25/1996	Daniel				
	A108	5,536,251	07/16/1996	Evard et al.				
	A109	5,547,458	08/20/1996	Ortiz et al.				
	A110	5,569,274	10/29/1996	Rapacki et al.				
	A111	5,571,074	11/05/1996	Buckman Jr. et al.				
	A112	5,571,215	11/05/1996	Sterman et al.				
	A113	5,573,496	11/12/1996	McPherson et al.				
	A114	5,582,580	12/10/1996	Buckman Jr. et al.				
	A115	5,607,421	03/04/1997	Jeevanandam et al.				
	A116	5,607,446	03/04/1997	Beehler et al.				
	A117	5,613,937	03/25/1997	Garrison et al.				
	A118	5,651,378	07/29/1997	Metheny et al.				
	A119	5,667,480	09/16/1997	Knight et al.				
	A120	5,713,951	02/03/1998	Garrison et al.				
	A121	5,727,569	03/17/1998	Benetti et al.				
	A122	5,728,151	03/17/1998	Garrison et al.				
	A123	5,730,757	03/24/1998	Benetti et al.				
	A124	5,735,290	04/07/1998	Sterman et al.				
	A125	5,749,892	05/12/1998	Vierra et al.				
↓	A126	5,755,660	05/26/1998	Tyagi				
OC	A127	5,766,151	06/19/1998	Valley et al.				

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OC	A128	5,772,583	06/30/1998	Wright et al.				
	A129	5,782,746	07/21/1998	Wright				
	A130	5,795,291	08/18/1998	Koros et al.				
	A131	5,797,960	08/25/1998	Stevens et al.				
	A132	5,799,661	09/01/1998	Boyd et al.				
	A133	5,807,243	09/15/1998	Vierra et al.				
	A134	5,813,410	09/29/1998	Levin				
	A135	5,836,311	11/17/1998	Borst et al.				
	A136	5,846,187	12/08/1998	Wells et al.				
	A137	5,846,193	12/08/1998	Wright				
	A138	5,846,194	12/08/1998	Wasson, et al.				
	A139	5,865,730	02/02/1999	Fox et al.				
	A140	5,868,770	02/09/1999	Rygaard				
	A141	5,875,782	03/02/1999	Ferrari et al.				
	A142	5,876,332	03/02/1999	Looney				
	A143	5,879,291	03/09/1999	Kolata et al.				
	A144	5,882,299	03/16/1999	Rastegar et al.				
	A145	5,885,271	03/23/1999	Hamilton et al.				
	A146	5,888,247	03/30/1999	Benetti				
	A147	5,891,017	04/06/1999	Swindle et al.				
	A148	5,894,843	04/20/1999	Benetti et al.				
	A149	5,899,425	05/04/1999	Corey Jr. et al.				
	A150	5,906,607	05/25/1999	Taylor et al.				
	A151	5,908,382	06/01/1999	Koros et al.				
↓	A152	5,913,876	06/22/1999	Taylor et al.				
OC	A153	5,927,284	07/27/1999	Borst et al.				

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OC	A154	5,944,658	08/31/1999	Koros et al.				
	A155	5,944,736	08/31/1999	Taylor et al.				
	A156	5,947,125	09/07/1999	Benetti				
	A157	5,947,896	09/07/1999	Sherts et al.				
	A158	5,957,835	09/28/1999	Anderson et al.				
	A159	5,967,972	10/19/1999	Santilli et al.				
	A160	5,967,973	10/19/1999	Charles R. Sherts et al.				
	A161	5,976,080	11/02/1999	Farascioni et al.				
	A162	5,976,171	11/02/1999	Taylor				
	A163	5,984,865	11/16/1999	Farley et al.				
	A164	5,984,867	11/16/1999	Deckman et al.				
	A165	6,007,486	12/28/1999	Hunt et al.				
	A166	6,007,523	12/28/1999	Mangosong				
	A167	6,010,531	01/04/2000	Donlon et al.				
	A168	6,013,027	01/11/2000	Khan et al.				
	A169	6,015,378	01/18/2000	Borst et al.				
	A170	6,017,304	01/25/2000	Vierra et al.				
	A171	6,019,722	02/01/2000	Spence et al.				
	A172	6,027,476	02/22/2000	Sterman et al.				
	A173	6,029,671	02/29/2000	Stevens et al.				
	A174	6,030,340	02/29/2000	Maffei et al.				
	A175	6,032,672	03/07/2000	Taylor				
	A176	6,033,362	03/07/2000	Cohn				
	A177	6,036,641	03/14/2000	Taylor et al.				
✓	A178	Des 421,803	03/21/2000	Koros et al.				
OC	A179	6,042,539	03/28/2000	Harper et al.				

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OC	A180	6,050,266	04/18/2000	Benetti et al.				
	A181	6,063,021	05/16/2000	Hossain et al.				
	A182	6,071,295	06/06/2000	Takahashi				
	A183	6,099,468	08/08/2000	Santilli et al.				
	A184	6,102,853	08/15/2000	Scirica et al.				
	A185	6,102,854	08/15/2000	Carfier et al.				
	A186	6,110,187	08/29/2000	Brian S. Donlon				
	A187	6,139,492	10/31/2000	Vierra et al.				
	A188	6,149,583	11/21/2000	Vierra et al.				
	A189	6,183,486	02/06/2001	Snow et al.				
	A190	6,190,311	02/20/2001	Glines et al.				
	A191	6,193,652	02/27/2001	Berky et al.				
	A192	6,200,263	03/13/2001	Person				
	A193	6,210,323	04/03/2001	Gilhuly et al.				
	A194	6,213,940	04/10/2001	Sherts et al.				
	A195	6,213,941	04/10/2001	Beneti et al.				
	A196	6,231,585	05/15/2001	Takahashi et al.				
	A197	6,251,065	06/26/2001	Kochamba				
	A198	6,264,605	07/24/2001	Scirica et al.				
	A199	6,315,717	11/13/2001	Benetti et al.				
	A200	6,338,738	01/15/2002	Bellotti et al.				
	A201	6,346,077	02/12/2002	Taylor et al.				
	A202	6,348,036	02/19/2002	Looney et al.				
✓	A203	6,350,229	02/26/2002	Borst et al.				
OC	A204	6,371,910	04/16/2002	Zwart et al.				

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OC	A205	6,375,611	04/23/2002	Voss et al.				
	A206	6,394,951	05/28/2002	Taylor et al.				
	A207	6,398,726	06/04/2002	Romans et al.				
	A208	6,406,424	06/18/2002	Williamson et al.				
	A209	6,447,443	09/10/2002	Keogh et al.				
	A210	6,458,079	10/01/2002	Cohn et al.				
	A211	6,464,629	10/15/2002	Boone et al.				
	A212	6,464,630	10/15/2002	Borst et al.				
	A213	6,468,265	10/22/2002	Evans et al.				
	A214	6,475,142	11/05/2002	Parsons et al.				
	A215	6,478,029	11/12/2002	Boyd et al.				
	A216	6,478,729	11/12/2002	Rogers et al.				
	A217	6,482,151	11/19/2002	Vierra et al.				
	A218	6,494,211	12/17/2002	Boyd et al.				
	A219	6,503,245	01/07/2003	Palmer et al.				
	A220	6,506,149	01/14/2003	Peng et al.				
	A221	6,537,212	03/25/2003	Sherts et al.				
	A222	6,565,508	05/20/2003	Scirica et al.				
	A223	6,592,573	07/15/2003	Castaneda et al.				
	A224	6,607,479	08/19/2003	Kochamba et al.				
	A225	6,610,008	08/26/2003	Spence et al.				
✓	A226	6,610,009	08/26/2003	Person et al.				
OC	A227	6,701,930	03/09/2004	Benetti et al.				

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		Document Number	Date	Country	Class	Subclass	Translation Yes / No	
OC	B1	473,451	01/13/15	France				
	B2	168,216	09/01/21	United Kingdom				
	B3	WO 87/04081	07/16/87	PCT				
	B4	0 293 760 A2	12/07/88	Europe				
	B5	0 293 760 A3	12/07/88	Europe				
	B6	0 293 760 B1	12/07/88	Europe				
	B7	DE 90 04513	06/28/90	Germany				
	B8	GB 2 233 561 A	01/16/91	United Kingdom				
	B9	GB 2 267 827 A	12/22/93	United Kingdom				
	B10	0 630 629	05/17/94	Europe				
	B11	WO 94/14383	07/04/94	PCT				
	B12	WO 94/18881	09/01/94	PCT				
	B13	WO 95/01757	01/19/95	PCT				
	B14	WO 95/15715	06/15/95	PCT				
	B15	WO 95/17127	06/29/95	PCT				
	B16	668 058A1	08/23/95	Europe				
	B17	WO 96/00033	01/04/96	PCT				
	B18	WO 97/10753	03/27/97	PCT				
	B19	WO 97/26828	07/31/97	PCT				
	B20	WO 97/32514 A2	09/12/97	PCT				
	B21	WO 97/32514 A3	09/12/97	PCT				
	B22	WO 97/40752	11/06/97	PCT				
	B23	WO 98/27869	07/02/98	PCT				
↓	B24	WO 98/48703	11/05/98	PCT				
OC	B25	WO 98/49947	11/12/98	PCT				

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OC	B26	WO 99/08585	02/25/99	PCT				
	B27	WO 99/09892	03/04/99	PCT				
	B28	WO 99/16367	04/08/99	PCT				
	B29	WO 00/06041	02/10/00	PCT				
	B30	WO 00/10466	03/02/00	PCT				
	B31	WO 00/16367	03/23/00	PCT				
	B32	0 993 806 A2	04/19/00	Europe				
	B33	WO 00/42920	07/27/00	PCT				
	B34	WO 00/42921	07/27/00	PCT				
	B35	WO 00/42935	07/27/00	PCT				
	B36	WO 00/42936	07/27/00	PCT				
OC	B37	WO 00/42937	07/27/00	PCT				

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	C2	Ancalmo, N. and J. L. Ochsner: "A Modified Sternal Retractor," Ann. Thorac. Surg. 21 (1976) 174
	C3	Angelini, G.D., M.D. et al., "Fiber-Optic Retractor for Harvesting the Internal Mammary Artery," Ann. Thorac. Surg. (1990; 50:314-5)
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OC	C11	Bedellino, M.M., et al., "The Cardiac Rag - Simple Exposure of the Heart," Texas Heart Institute Journal, vol. 15, no. 2, 1988, 134-35.

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OC	C12	Beg, R.A., et al., "Internal Mammary Retractor," Ann Thorac Surg., vol. 39, no. 1, pgs. 286-287, January 1985.	
	C13	Benetti, et al., "Direct Coronary Surgery with Saphenous Vein Bypass Without Either Cardiopulmonary Bypass or Cardiac Arrest," The Journal of Cardiovascular Surgery, vol. 26, no. 3, May-June, 1985, pgs. 217-222	
	C14	Benetti, et al., "Direct Myocardial Revascularization Without Extracorporeal Circulation," Chest, vol. 100, no. 2 August, 1991, pgs 312-316	
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	C27	Cremer, J, MD, "Off-Bypass Coronary Bypass Grafting Via Minithoracotomy Using Mechanical Epicardial Stabilization," The Annals of Thoracic Surgery, 63:S79-83, 1997.	
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	C31	Favaloro, M.D., et al, "Direct Myocardial Revascularization by Saphenous Vein Graft," The Annals of Thoracic Surgery, vol. 10, no. 2, August 1970	
✓	C32	Fonger, et al., "Enhanced Preservation of Acutely Ischemic Myocardium with Transeptal Left Ventricular Assist," The Annals of Thoracic Surgery, vol. 57, no. 3, March 1994, pgs. 570-575.	
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OC	C34	Green, GE., "Technique of Internal Mammary-Coronary Artery Anastomosis," The Journal of Cardiovascular Surgery, 78:455-79, 1979.	
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	C37	Hasan, RI, et al., "Technique of Dissecting the Internal Mammary After Using the Moussalli Bar," European Journal of Cardiothoracic Surgery, 4:571-572, 1990.	
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	C42	Kazama, S. et al., "Fabric Heart Retractor for Coronary Artery Bypass Operations," The Annals of Thoracic Surgery, 55:1582-3, 1993.	
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	C46	Laverigne, et al., "Transcatheter Radiofrequency Ablation of Atrial Tissue Using a Suction Catheter," PACE, Vol. 12, January 1989, Part II, pgs. 177-186	
	C47	Lonn, M.D., et al. "Coronary Artery Operation Supported by the Hemopump: An Experimental Study on Pigs," The Annals of Thoracic Surgery, vol. 58, no. 1, July 1994, pgs. 516-523	
	C48	Matsuura, A. MD, et al., "A New Device for Exposing the Circumflex Coronary Artery," The Annals of Thoracic Surgery, 59:1249-50, 1995, pgs. 1249-1250.	
	C49	McGee, et al. "Extended Clinical Support with an Implantable Left Ventricular Assist Device," Trans. Am Soc. Artif. Intern. Organs, Vol. XXXV, 1989, pgs. 614-616	
	C50	McKeown, P.P. et al., "A Modified Sternal Retractor for Exposure of the Internal Mammary Artery," Ann. Thorac. Surg. 32 (1981) 619.	
	C51	Ochsner, JL, et al., "Surgical Management of Diseased Intracavitary Coronary Arteries," The Annals of Thoracic Surgery, Vol. 38, No. 4, July, pgs. 356-62, October 1984.	
	C52	Parsonnet, V. MD, et al., "Graduated probes for Coronary Bypass Surgery," The Journal of Thoracic and Cardiovascular Surgery, vol. 68, No. 3, 424-26 (Sept. 1974)	
	C53	Parsonnet, V. MD, et al., "Self-Retaining Epicardial Retractor for Aortocoronary Bypass Surgery," The Journal of Thoracic and Cardiovascular Surgery, 629-30 1979.	
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✓	C55	Pfister, et al., "Coronary Artery Bypass Without Cardiopulmonary Bypass," The Annals of Thoracic Surgery, vol. 54, no. 6, December 1992, pgs. 1085-1092	
OC	C56	Phillips, Steven J., M.D. et al., "A Versatile Retractor for Use in Harvesting the Internal Mammary Artery and Performing Standard Cardiac Operations," J. Thorac. Cardiovasc. Surg. (1989; 97:633-5).	

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OC	C57	Pilling Surgical Instruments, A Rusch International Company Brochure.	
	C58	Pittman, John, M.D., et al., "Improved Visualization of the Internal Mammary Artery with a New Retractor System," Ann. Thorac. Surg., 1989; 48:869-70.	
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	C60	Richenbacher, M.D., et al., "Current Status of Cardiac Surgery: A 40-Year Review," Journal of American College of Cardiology, vol. 14, no. 3, pgs. 535-544	
	C61	Robicsek, F., "Aortic Spoon-Jaw Clamp for Aorta-Saphenous Vein Anastomosis," Journal of Cardiac Surgery, 10:583-585, 1995	
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	C63	Rousou, J. et al., "Cardiac Retractor for Coronary Bypass Operations," The Society of Thoracic Surgeons, pgs. 52:877-78, 1991.	
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	C65	Roux, D., M.D. et al., "Internal Mammary Artery Dissection: A Three Dimensional Sternal Retractor," J. Cardiovasc. Surg., 1989; 30:996-7	
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	C69	Trapp and R. Bisarya, "To Use or Not to Use the Pump Oxygenator in Coronary Bypass Operations," The Annals of Thoracic Surgery, vol. 19, no. 1, January 1975, pgs. 108-109	
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OC	C1	DelRossi, A J and Lemole, GM, "A New Retractor to Aid in Coronary Artery Surgery," The Annals of Thoracic Surgery, Vol. 36, No. 1, 101-102, July 1983.
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	C6	Fonger, J. D., et al., "Enhanced Preservation of Acutely Ischemic Myocardium with Transeptal Left Ventricular Assist," The Annals of Thoracic Surgery, Vol. 57, No. 3, March, 1994, pgs. 570-575.
	C7	Gacioch, G. M., MD, et al., "Cardiogenic Shock Complicating Acute Myocardial Infarction: The Use of Coronary Angioplasty and the Integration of the New Support Device into Patient Management," Journal of the American College of Cardiology, Vol. 19, No. 3, March 1, 1992.
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	C10	Grundeman et al., "Hemodynamic Changes During Displacement of the Beating Heart by the Utrecht Octopus Method", Ann Thorac Surg 1997; 66:576-579
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	C18	Janke, W. H., "Heart Support for Coronary Bypass Surgery Involving the Circumflex Artery System," The Journal of Thoracic and Cardiovascular Surgery, pgs 883-884
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	C20	Kazama, S. et al., "Fabric Heart Retractor for Coronary Artery Bypass Operations," The Annals of Thoracic Surgery, 55:1582-3, 1993.
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	C25	McGee, M. G., et al., "Extended Clinical Support with an Implantable Left Ventricular Assist Device," Trans. Am. Soc. Artif. Intern. Organs, Vol. XXXV, 1989, pgs. 614-616.	
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	C48	USSC Cardiovascular Thora-Lift™, United States Surgical Corporation, Norwalk, Connecticut, Product Brochure	
	C49	Vincent, J.G., "A Compact Single Post Internal Mammary Artery Dissection Retractor," Eur. J. Cardio-Thor. Surg. 3 (1989) 276-277	
	C50	Westaby, S., "Coronary Surgery Without Cardiopulmonary Bypass," British Heart Journal Vol 73 pgs. 203-205, 1995	
↓	C51	Westaby, S. et al., "Less Invasive Coronary Surgery: Consensus From the Oxford Meeting," The Annals of Thoracic Surgery, 62:924-31, 1996.	
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